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JC945 U.S. PTO

FORM PTO-1082

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Express Mail Label No. EL 589 806 173 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Tatsuki WADE and Hiroshi SUGIHARA both of Nagano, Japan

Serial No: Not assigned

Filed: October 19, 2000

For: OPTICAL PICKUP APPARATUS AND METHOD OF MANUFACTURING THE SAME

Box PATENT APPLICATION
Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

CORRESPONDENCE ADDRESS:

☒ Customer Number

000026021



26021

PATENT TRADEMARK OFFICE

Transmitted herewith for filing is the patent application identified above.

- ☒ 5 sheet(s) of drawings (☐ formal ☒ informal) is(are) enclosed.
- ☒ 28 page(s) of specification and 1 page(s) of abstract of the invention are enclosed.
- ☐ An assignment of the invention to SANKYO SEIKI MFG. CO., LTD. ☐ is enclosed ☒ will follow.
- ☐ An associate power of attorney ☐ is enclosed ☐ will follow.
- ☐ A verified statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.
- ☐ Declaration and Power of Attorney ☐ is enclosed ☒ will follow.
- ☒ A certified copy of Japanese Patent Application No. 11-299009 filed October 21, 1999 from which priority is claimed under 35 U.S.C. § 119 will follow.
- ☐ IDS enclosed (☐ with reference(s)).
- ☐ Preliminary Amendment is enclosed.
- ☒ Return postcard is enclosed.

| CALCULATION OF FEES | | | | | | | |
|--|---------------------|-----|-------------------------|---------------------|------|--|--------|
| ITEM | TOTAL NO. OF CLAIMS | | NO. OF CLAIMS OVER BASE | LG/SM \$ ENTITY FEE | | \$ AMOUNT | \$ FEE |
| A TOTAL CLAIMS FEE | 25 | -20 | 5 | LG=\$18 SM=\$9 | \$18 | 90 | |
| B INDEPENDENT CLAIMS FEE | 4 | -3 | 1 | LG=\$80 SM=\$40 | \$80 | 80 | |
| C SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B) | | | | | | | 170 |
| D MULTIPLE-DEPENDENT CLAIMS FEE | | | | | | LARGE ENTITY FEE = \$270 SMALL ENTITY FEE = \$135 | \$ 0 |
| E BASIC FEE | | | | | | LARGE ENTITY FEE = \$710 SMALL ENTITY FEE = \$355 | \$ 710 |
| F TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E) | | | | | | | \$ 880 |
| G ASSIGNMENT RECORDING FEE | | | | | | \$ 40 | \$ 40 |
| *LIST INDEPENDENT CLAIMS 1, 10, 16, 21. | | | | | | | |

- ☐ Please charge my Deposit Account No. 50-1314 the amount of \$ 0. A copy of this letter is enclosed.
- ☐ A check in the amount of \$ 0 to cover the filing fee is enclosed.
- ☐ A check in the amount of \$ 40.00 to cover Assignment Recordation fee is enclosed.
- ☐ The Commissioner is hereby authorized to charge any deficiency for the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1314. **A copy of this sheet is enclosed.**
- ☐ Any additional filing fees required under 37 C.F.R. 1.16
- ☐ Any patent application processing fees under 37 C.F.R. 1.17

Date: October 19, 2000

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Respectfully submitted,
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By:

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JC922 U.S. PTO

09/692778

10/19/00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Tatsuki WADE et al.

Serial No: Not assigned

Filed: October 19, 2000

For: OPTICAL PICKUP APPARATUS AND METHOD OF
MANUFACTURING THE SAME

Art Unit: Not assigned

Examiner: Not assigned

JC922 U.S. PTO
09/692778
10/19/00

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"Express Mail" Mailing Label No. EL 589 806 173 US

Date of Deposit: October 19, 2000

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Commissioner for Patents

Washington, D.C. 20231

Dear Sir:

I hereby certify that

- ☒ two copies of a letter of transmittal
- ☒ patent application (28 page(s) of specification; 25 claim(s); 1 page(s) of abstract
- ☒ 5 sheet(s) of informal drawings
- ☒ certified copy of Japanese patent application No. 11-299009 which was filed October 21, 1999 from which priority is claimed in the subject case pursuant to 35 U.S.C. § 119
- ☒ return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

Box PATENT APPLICATION

Commissioner for Patents

Washington, D.C. 20231.

Date: October 19, 2000

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James Hernandez

Name of person mailing papers

James Hernandez

Signature